

# Double-Edged Role of VOCs Reduction in Nitrate Formation: Insights from Observations during the China International Import Expo 2018

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**ABSTRACT:** Aerosol nitrate ( $\text{NO}_3^-$ ) constitutes a significant component of fine particles in China. Prioritizing the control of volatile organic compounds (VOCs) is a crucial step toward achieving clean air, yet its impact on  $\text{NO}_3^-$  pollution remains inadequately understood. Here, we examined the role of VOCs in  $\text{NO}_3^-$  formation by combining comprehensive field measurements conducted during the China International Import Expo (CIIE) in Shanghai (from 10 October to 22 November 2018) and multiphase chemical modeling. Despite a decline in primary pollutants during the CIIE,  $\text{NO}_3^-$  levels increased compared to pre-CIIE and post-CIIE— $\text{NO}_3^-$  concentrations decreased in the daytime (by  $-10$  and  $-26\%$ ) while increasing in the nighttime (by  $8$  and  $30\%$ ). Analysis of the observations and backward trajectory indicates that the diurnal variation in  $\text{NO}_3^-$  was mainly attributed to local chemistry rather than meteorological conditions. Decreasing VOCs lowered the daytime  $\text{NO}_3^-$  production by reducing the hydroxyl radical level, whereas the greater VOCs reduction at night than that in the daytime increased the nitrate radical level, thereby promoting the nocturnal  $\text{NO}_3^-$  production. These results reveal the double-edged role of VOCs in  $\text{NO}_3^-$  formation, underscoring the need for transferring large VOC-emitting enterprises from the daytime to the nighttime, which should be considered in formulating corresponding policies.

**KEYWORDS:** particulate nitrate, volatile organic compounds, nitrogen oxides, oxidants, multiphase chemical box model



## INTRODUCTION

Haze pollution, characterized by high concentrations of fine particulate matter ( $\text{PM}_{2.5}$ ), poses significant air quality issues across the globe's urban and industrialized regions.<sup>1–3</sup> It can impair visibility, and adversely affect climate and human health.<sup>4,5</sup> In response, China's central government has implemented regulations to curb  $\text{PM}_{2.5}$  pollution, restricting emissions of PM, sulfur dioxide ( $\text{SO}_2$ ), and nitrogen oxides ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ). These stringent pollutant controls have notably reduced concentrations of sulfate ( $\text{SO}_4^{2-}$ ) and  $\text{PM}_{2.5}$ .<sup>6–8</sup> In contrast, the concentrations of nitrate ( $\text{NO}_3^-$ ) showed an insignificant trend, and the mass fraction of  $\text{NO}_3^-$  in  $\text{PM}_{2.5}$  showed an increasing trend, despite a reduction in  $\text{NO}_x$  emissions (by  $-26\%$  during 2010–2020).<sup>6–11</sup> In cities such as Beijing, Shanghai, and Ji'nan, the contribution of  $\text{NO}_3^-$  contribution to  $\text{PM}_{2.5}$  mass has surpassed that of  $\text{SO}_4^{2-}$ , becoming the primary component of secondary inorganic aerosols (SIAs).<sup>6–8,10,11</sup> Hence, gaining a better understanding of the  $\text{NO}_3^-$  formation regime is crucial for devising effective strategies against  $\text{NO}_3^-$  and  $\text{PM}_{2.5}$  pollution.

The chemical production of  $\text{NO}_3^-$  is mainly governed by two pathways, i.e., the hydroxyl radical ( $\bullet\text{OH}$ )-initiated oxidation of  $\text{NO}_2$ , and the hydrolysis of dinitrogen pentoxide ( $\text{N}_2\text{O}_5$ ) that is produced from the reaction between  $\text{NO}_2$  and

the nitrate radical ( $\bullet\text{NO}_3$ ).<sup>12–16</sup> The former pathway dominates in the daytime, while the latter predominates in the nighttime.<sup>7,17</sup> It is evident that  $\text{NO}_2$  and oxidants play a vital role in  $\text{NO}_3^-$  production. In addition, alkaline species [e.g., ammonia ( $\text{NH}_3$ )] also have significant impacts on  $\text{NO}_3^-$  production by affecting the gas-particle partition of  $\text{NO}_3^-$ .<sup>18–21</sup> Given the high- $\text{NO}_x$  and  $\text{NH}_3$ -rich conditions in most Chinese metropolitan areas, small reductions in  $\text{NO}_x$  and  $\text{NH}_3$  emissions may not effectively alleviate  $\text{NO}_3^-$  pollution.<sup>10,18,19,22–24</sup> In contrast, a high level of oxidants presents as a major cause of rapid  $\text{NO}_3^-$  and secondary organic aerosol production in megacities like Beijing, Shanghai, and Guangzhou.<sup>6,24–28</sup> Recently, a control strategy focusing on volatile organic compounds (VOCs) alongside  $\text{NO}_x$  reduction was implemented to reduce both  $\text{PM}_{2.5}$  and ozone ( $\text{O}_3$ ) levels.<sup>29</sup> It should be noted that VOCs have distinct roles in oxidant production in the daytime (e.g., promote  $\bullet\text{OH}$

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production) and in the nighttime (e.g., deplete  $\text{NO}_3^-$ ). While existing studies have primarily examined the overall effect of reductions in  $\text{NO}_x$  and VOCs on  $\text{NO}_3^-$  pollution,<sup>30,31</sup> few studies have explored the daytime or nighttime perspective.

Shanghai, one of the most developed megacities in China, has a dense population and thriving industry and transportation, contributing to economic growth but also leading to high energy consumption and a decline in air quality.<sup>8,9,26,32</sup> The China International Import Expo (CIIE) is a significant event held in Shanghai. To ensure favorable air quality during the event, strict regional-collaborative emission reduction measures were implemented in Shanghai and its surrounding areas from first to 10th in November 2018 (<https://www.gov.cn/>). These measures targeted reducing  $\text{NO}_x$  and VOCs emissions from various sources such as power, boiler and furnace, ultralow steel, transportation, and other industrial activities. This provides a unique opportunity to assess the impact of joint control of  $\text{NO}_x$  and VOCs on the  $\text{NO}_3^-$  production in real-world scenarios. In this study, we examine the intricate mechanisms through which the reduction of  $\text{NO}_x$  and VOCs, particularly for VOCs, influences daytime [6–17:00 local time (LT)] and nighttime (18–5:00 LT)  $\text{NO}_3^-$  production. We further discuss the nonlinear relationship between  $\text{NO}_x$ , VOCs, and  $\text{NO}_3^-$ .

## MATERIALS AND METHODS

**Field Observations.** The field observation was conducted at an urban site (see Figure S1 for the location of the site) in Shanghai, China, from 10 October to 22 November 2018. The entire campaign was divided into three periods, i.e., pre-CIIE (10–31 October), CIIE (1–10 November), and post-CIIE (11–22 November), based on the time of the implementation and cancellation of emission control measures. Real-time measurements were taken for  $\text{O}_3$ ,  $\text{SO}_2$ ,  $\text{NO}$ ,  $\text{NO}_2$ , VOCs,  $\text{PM}_{2.5}$ , water-soluble gases (HCl,  $\text{HNO}_2$ ,  $\text{HNO}_3$ , and  $\text{NH}_3$ ), water-soluble inorganic ions in  $\text{PM}_{2.5}$  ( $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{Cl}^-$ ,  $\text{NH}_4^+$ ,  $\text{Na}^+$ ,  $\text{K}^+$ ,  $\text{Ca}^{2+}$ , and  $\text{Mg}^{2+}$ ), particle size and number, and various meteorological parameters [temperature, relative humidity (RH), pressure, and wind direction and speed], with standard commercial techniques that followed strict quality assurance and quality control procedures.<sup>8</sup> Detailed information about the observational site and measurement methods is provided in the Supporting Information.

**Multiphase Chemical Box Model.** A multiphase chemical box model was used to investigate the effect of changes in pollutants and parameters on the detailed mechanisms for  $\text{NO}_3^-$  production. The model incorporates the regional atmospheric chemistry mechanism version 2 (RACM2) for gas-phase atmospheric chemistry and the chemical aqueous-phase radical mechanism version 2.4 (CAPRAM2.4) for aqueous-phase atmospheric chemistry.<sup>33,34</sup> To account for the partition of chemical species between the gas and aqueous phase, a series of gas–aqueous-phase transfer processes were established.<sup>33</sup> Additionally, the model incorporates physical processes such as solar radiation, diurnal evolution of the planetary boundary layer, dry deposition, and dilution with background air (see Text S2 for the parametrization of physical processes).<sup>35–37</sup> Three sets of simulations were conducted using the RACM-CAPRAM chemical box model, i.e., the base model simulation, sensitivity test, and empirical kinetic modeling approach (EKMA).

The base model simulation was performed to calculate the in situ  $\text{NO}_3^-$  production rates in urban Shanghai during pre-CIIE

and CIIE (see Text S3 for the calculation of  $\text{NO}_3^-$  production and destruction rate). Due to the low data capture rate of VOCs (Figure S2), measurements during post-CIIE were not subjected to modeling analysis. The model was constrained by the concentrations of  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ ,  $\text{Cl}^-$ ,  $\text{NH}_4^+$ ,  $\text{O}_3$ ,  $\text{CO}$ ,  $\text{NO}$ ,  $\text{NO}_2$ ,  $\text{SO}_2$ ,  $\text{NH}_3$ , and VOCs, as well as the temperature, RH, pressure, particle radius, liquid water content (LWC), and  $\text{H}^+$ . These inputs were averaged or interpolated into hourly resolution. Some of the aforementioned species or parameters were not measured throughout the study period, and their corresponding inputs were handled as follows. The particle radius was determined based on the measured particle number and size distribution, assuming spherical particles. The input of  $\text{CO}$  was obtained from the nearest air quality monitoring station (see its location in Figure S1). LWC and aerosol  $\text{H}^+$  concentrations were simulated using ISORROPIA II with forward mode and metastable state (<http://isorro피아.eas.gatech.edu/>) (Figure S3).<sup>38,39</sup> The performance of ISORROPIA II was validated by the good agreement between the observed and simulated  $\text{NH}_3$  concentrations (Figure S4). The model was initiated at 00:00 LT on 10 October and ended at 23:00 LT on 10 November, with an integration step of 1 h. Prior to each simulation, the model was prerun for 1 day to approach a steady state to stabilize the concentrations of unconstrained species.

A series of sensitivity tests were performed to quantify the effect of changes in major species and parameters on  $\text{NO}_3^-$  production. These tests were conducted by rerunning the base models again for pre-CIIE while adjusting the input of  $\text{NO}_x$ , VOCs,  $\text{NH}_3$ , and LWC individually. The input of the specific pollutant or parameter was adjusted following eq 1, while other pollutants and parameters were fixed. Consequently, the differences in the in situ  $\text{NO}_3^-$  production rates between the sensitivity tests and base runs can be ascribed to changes in the target pollutant or parameter.

$$\text{ADJ}_{\text{real-time}} = \text{PRE}_{\text{real-time}} \times (\text{IN}_{\text{AVE}}/\text{PRE}_{\text{AVE}}) \quad (1)$$

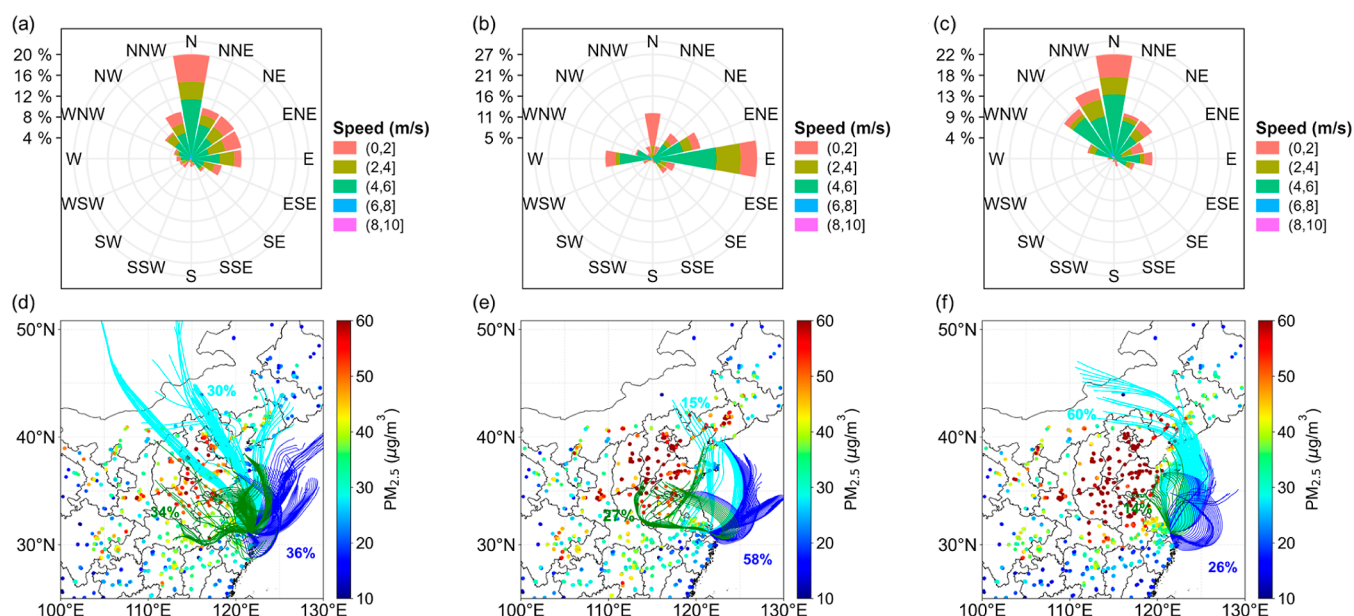
where  $\text{IN}_{\text{AVE}}$  and  $\text{PRE}_{\text{AVE}}$  refer to the average diurnal profile of the target pollutant or parameter during CIIE and pre-CIIE, respectively;  $\text{PRE}_{\text{real-time}}$  represents the time series of the target pollutant or parameter observed during pre-CIIE, and  $\text{ADJ}_{\text{real-time}}$  represents the adjusted time series of the target pollutant or parameter.

The  $\text{NO}_3^-$  isopleth diagram (i.e., the EKMA plot) was established to aid in the development of efficient control strategies against  $\text{NO}_3^-$  pollution. We designed a 1 day model input that encompassed emission rates of  $\text{NO}$ ,  $\text{NO}_2$ , VOCs,  $\text{SO}_2$ ,  $\text{CO}$ , and  $\text{NH}_3$ , as well as data on the temperature, RH, pressure, particle radius, LWC, and aerosol  $\text{H}^+$ . These values were derived from measurements taken during the pre-CIIE period. Detailed information on the calculation of emission rates can be found in the Supporting Information and previous studies.<sup>36,37,40,41</sup> The initial chemical conditions were established by using observational data.  $\text{NO}_3^-$  and  $\text{O}_3$  were initialized and then their formation and chemistry were simulated with inputs of other relevant species in the following integration. The model was initiated at 6:00 LT, with an integration step of 1 h and a total duration of 24 h. Prior to each simulation, the model was prerun for 1 day to approach a steady state to stabilize the concentrations of unconstrained species. A base run and a series of emission-reduction runs were performed, using  $X$  folds reductions (0–1 fold with a bin precision of 0.05) for the emission rates of  $\text{NO}_x$  and

Table 1. Summary of NO<sub>3</sub><sup>-</sup>, Related Species, and Meteorological Parameters during Pre-CIIE, CIIE, and Post-CIIE in 2018<sup>a</sup>

species/ parameters	all			day			night				
	pre-CIIE	p1	CIIE	post-CIIE	p2	CIIE	post-CIIE	p1	CIIE	post-CIIE	p2
NO <sub>3</sub> <sup>-</sup> (μg·m <sup>-3</sup> )	6.4 ± 7.2	0.33	6.9 ± 6.5	6.8 ± 7.3	0.86	5.2 ± 5.1	7.0 ± 7.9	<0.05	8.0 ± 8.9	8.6 ± 7.3	<0.05
SO <sub>4</sub> <sup>2-</sup> (μg·m <sup>-3</sup> )	4.4 ± 2.9	<0.01	3.9 ± 1.6	5.2 ± 3.0	<0.01	3.7 ± 1.5	5.4 ± 3.3	<0.01	4.3 ± 2.9	4.0 ± 1.7	<0.01
NH <sub>4</sub> <sup>+</sup> (μg·m <sup>-3</sup> )	3.3 ± 3.2	0.60	3.4 ± 2.6	4.1 ± 3.6	<0.05	2.8 ± 2.2	4.1 ± 3.9	<0.01	3.7 ± 3.8	4.0 ± 2.9	0.99
Cl <sup>-</sup> (μg·m <sup>-3</sup> )	0.5 ± 0.4	<0.01	0.4 ± 0.2	0.7 ± 0.5	<0.01	0.4 ± 0.2	0.7 ± 0.5	<0.01	0.6 ± 0.4	0.4 ± 0.2	<0.01
PM <sub>2.5</sub> (μg·m <sup>-3</sup> )	26.7 ± 19.3	0.36	25.5 ± 16.1	30.3 ± 24.5	<0.01	21.5 ± 13.9	30.3 ± 24.3	<0.01	30.7 ± 22.4	29.4 ± 17.2	0.53
NO <sub>3</sub> <sup>-</sup> /PM <sub>2.5</sub>	0.20 ± 0.09	<0.01	0.23 ± 0.10	0.20 ± 0.08	<0.01	0.20 ± 0.08	0.19 ± 0.08	0.43	0.22 ± 0.10	0.25 ± 0.11	<0.01
NO <sub>x</sub> (ppbv)	26.8 ± 13.8	<0.01	23.7 ± 11.4	28.4 ± 17.2	<0.01	23.0 ± 11.8	30.3 ± 17.7	<0.01	28.1 ± 14.1	24.4 ± 11.0	<0.05
NO (ppbv)	4.9 ± 6.9	<0.01	3.6 ± 3.7	5.8 ± 9.2	<0.01	4.9 ± 4.8	6.7 ± 9.4	<0.05	3.4 ± 4.9	2.2 ± 0.6	<0.01
O <sub>3</sub> (ppbv)	35.6 ± 17.7	<0.01	30.8 ± 15.6	28.0 ± 12.7	0.05	32.9 ± 16.9	29.1 ± 13.3	<0.05	28.3 ± 15.8	28.8 ± 13.8	0.13
VOCs (ppbv)	17.8 ± 13.4	<0.01	14.8 ± 7.9			13.7 ± 8.0			20.3 ± 15.2	15.9 ± 7.6	<0.01
NH <sub>3</sub> (ppbv)	6.2 ± 2.4	<0.01	4.3 ± 1.6	3.8 ± 1.8	<0.01	4.3 ± 1.7	3.9 ± 2.0	0.07	6.1 ± 2.4	4.2 ± 1.4	<0.01
SO <sub>2</sub> (ppbv)	1.7 ± 1.2	<0.01	0.9 ± 0.4	1.1 ± 0.6	<0.01	1.0 ± 0.4	1.2 ± 0.6	<0.01	1.5 ± 0.9	0.9 ± 0.3	<0.01
temperature (°C)	18.3 ± 2.9	<0.01	16.6 ± 3.3	14.1 ± 2.5	<0.01	17.5 ± 3.2	14.8 ± 2.5	<0.01	16.8 ± 2.2	15.6 ± 3.1	<0.01
relative humidity (%)	63 ± 18	<0.01	75 ± 15	80 ± 15	<0.01	71 ± 16	77 ± 16	<0.01	71 ± 17	79 ± 12	<0.01

<sup>a</sup>Statistical significance tests were performed using a double-tailed Student's *t*-test, with *p*1 for comparison between CIIE and pre-CIIE and *p*2 for comparison between CIIE and post-CIIE. CIIE, China International Import Expo. Daytime: 6–17:00 LT; nighttime: 18–5:00 LT.



**Figure 1.** Wind rose plots during (a) pre-CIIE, (b) CIIE, and (c) post-CIIE. 48 h back trajectories at 1 h intervals at a height of 200 m during (d) pre-CIIE, (e) CIIE, and (f) post-CIIE. The inserted percentages represent the percentages of trajectory numbers of each classification in the whole trajectories during pre-CIIE, CIIE, and post-CIIE.

anthropogenic VOCs (AVOCs). The simulated  $\text{NO}_3^-$  concentrations were obtained for the base scenario and 399 emission reduction scenarios to illustrate the nonlinear response of  $\text{NO}_3^-$  concentrations to reductions in  $\text{NO}_x$  and AVOCs. In addition, multiple emission-reduction simulations were performed to examine the effect of different  $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}}$  ratios (representing the ratio of reduction in AVOCs emission in the daytime and nighttime) on nocturnal  $\text{NO}_3^-$  concentrations.

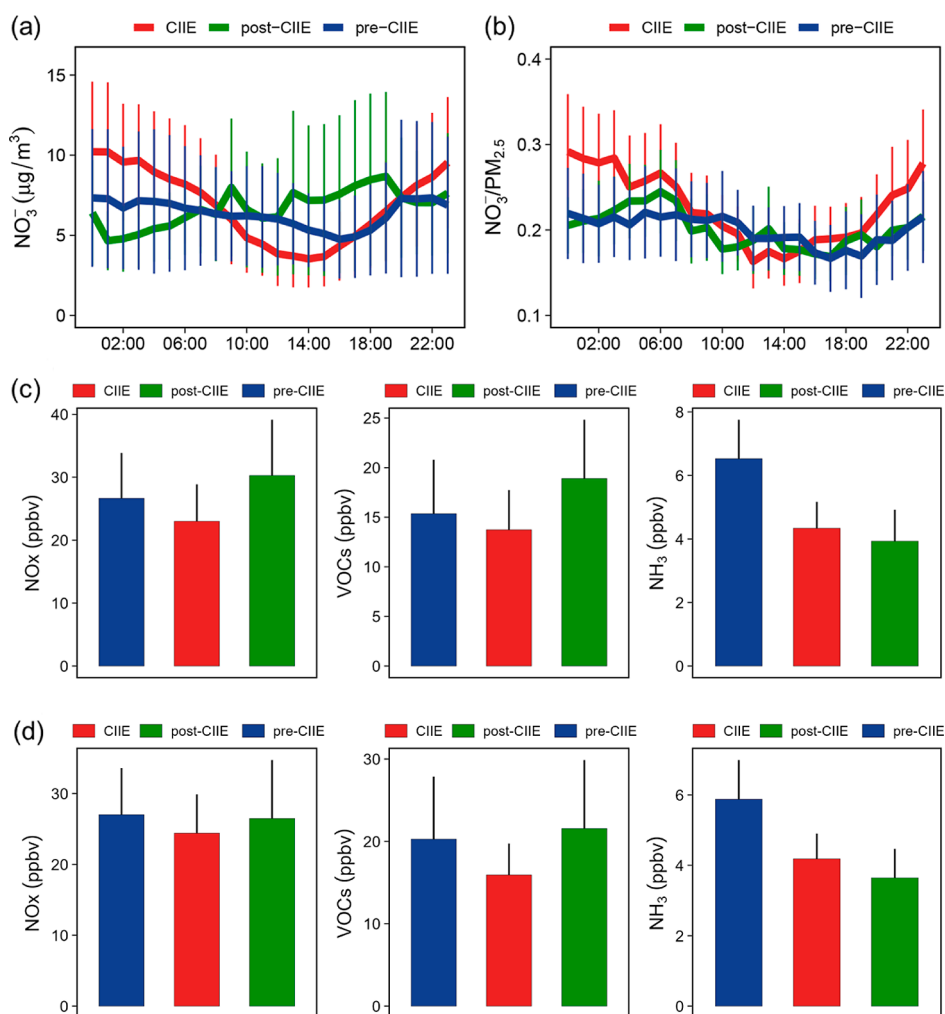
## RESULTS AND DISCUSSION

**Increase in  $\text{NO}_3^-$  Concentrations during CIIE.** Table 1 summarizes the average concentrations of gaseous pollutants (e.g.,  $\text{SO}_2$ ,  $\text{NO}_x$ , and VOCs),  $\text{PM}_{2.5}$ , and secondary inorganic aerosols (e.g.,  $\text{NO}_3^-$ ,  $\text{SO}_4^{2-}$ , and  $\text{NH}_4^+$ ) during the campaign. Most pollutants exhibited a decrease in their concentration during the CIIE period. For instance,  $\text{SO}_4^{2-}$  concentration was  $4.4 \pm 2.9 \mu\text{g}\cdot\text{m}^{-3}$  before the CIIE, and then this value decreased by  $\sim 11\%$  during CIIE. This decline can be attributed to the reduction in  $\text{SO}_2$  concentration, from  $1.7 \pm 1.2$  to  $0.9 \pm 0.4$  ppbv. The average concentration of  $\text{PM}_{2.5}$  during the pre-CIIE ( $26.7 \pm 19.3 \mu\text{g}\cdot\text{m}^{-3}$ ) was  $\sim 1.1$  times higher than that during the CIIE ( $25.5 \pm 16.1 \mu\text{g}\cdot\text{m}^{-3}$ ). The reduction in concentrations of gaseous and particulate pollutants during the CIIE indicates the effectiveness of the implemented stringent emission reduction measures. This finding is supported by the recovery of pollutant concentrations after CIIE (Table 1). However, the  $\text{NO}_3^-$  concentration exhibited an opposite trend, showing a slight increase during the CIIE, despite observed decreases of 12% for  $\text{NO}_x$  and 17% for VOCs. This unexpected rise in  $\text{NO}_3^-$  also led to an increased contribution of  $\text{NO}_3^-$  to the  $\text{PM}_{2.5}$  mass (Table 1).

Regional transport did not appear to contribute to the elevated  $\text{NO}_3^-$  concentrations observed during CIIE. Analysis of surface winds during CIIE revealed prevailing easterly winds, with air masses mainly originating from the sea (Figures 1 and

S5). The reduced frequency of air masses arriving from the south of Shanghai, an industrialized and port-intensive area, also suggested a significant decrease in the levels of pollutants contributed by transport. Local meteorological factors like temperature and RH varied noticeably during CIIE compared to pre-CIIE and post-CIIE periods (Table 1). During CIIE, relative to pre-CIIE, there was a rise in RH and a drop in temperature, leading to an increased LWC (Figure S6). This provided a greater medium for gas-particle conversion,<sup>42</sup> thereby facilitating  $\text{NO}_3^-$  production. Nonetheless, even with more conducive meteorological conditions in the post-CIIE period (rising RH and falling temperature), the  $\text{NO}_3^-$  levels declined (Table 1). This implies that the local chemistry triggered by changes in pollutants may play an important role in the observed  $\text{NO}_3^-$  enhancements.

During CIIE, relative to pre-CIIE and post-CIIE,  $\text{NH}_x$  ( $\text{NH}_3 + \text{NH}_4^+$ ) concentrations changed by  $-16$  and  $-5\%$ , respectively (Table 1). Despite these changes in  $\text{NH}_x$  concentrations, gas ratio (GR; eq 2)<sup>6</sup> values consistently exceeded 1 (Figure S7), indicating a persistent  $\text{NH}_3$ -rich condition in urban Shanghai. Thus, the availability of alkaline species is not the key limiting factor for the production of  $\text{NO}_3^-$  production. We further examined the diurnal variation in  $\text{NO}_3^-$  concentration and related pollutants during CIIE. The results revealed that control measures targeting the reduction of daytime  $\text{NO}_x$  and VOCs concentrations effectively alleviated  $\text{NO}_3^-$  pollution (Figure 2). The daytime  $\text{NO}_3^-$  concentration decreased from 5.8 to  $5.2 \mu\text{g}\cdot\text{m}^{-3}$  compared to the pre-CIIE. In contrast, the reduction in nighttime  $\text{NO}_x$  and VOCs concentrations facilitated the  $\text{NO}_3^-$  production, with the nocturnal  $\text{NO}_3^-$  concentration increasing by 8% compared to pre-CIIE. The insufficient control of  $\text{NO}_3^-$  pollution during CIIE was likely due to unexpectedly higher nighttime  $\text{NO}_3^-$  production. Moreover, given that the concentrations of  $\text{NO}_x$  and VOCs declined in both daytime and nighttime (Figures 2 and S8), the different responses of  $\text{NO}_3^-$  suggest a nonlinear relationship between  $\text{NO}_x$ , VOCs, and  $\text{NO}_3^-$ .



**Figure 2.** (a,b) Average diurnal variations in  $\text{NO}_3^-$  concentration and  $\text{NO}_3^-/\text{PM}_{2.5}$  ratio in urban Shanghai during pre-CIIE, CIIE, and post-CIIE. (c) The daytime concentrations of  $\text{NO}_x$ , VOCs, and  $\text{NH}_3$  in urban Shanghai during pre-CIIE, CIIE, and post-CIIE. (d) The nighttime concentrations of  $\text{NO}_x$ , VOCs, and  $\text{NH}_3$  in urban Shanghai during pre-CIIE, CIIE, and post-CIIE. The error bars indicate half the standard deviation of the mean.

$$\text{GR} = \frac{([\text{NH}_3] + [\text{NH}_4^+]) - 2 \times [\text{SO}_4^{2-}]}{[\text{NO}_3^-] + [\text{HNO}_3]} \quad (2)$$

where GR refers to the gas ratio value;  $[\text{NH}_3]$ ,  $[\text{NH}_4^+]$ ,  $[\text{SO}_4^{2-}]$ ,  $[\text{NO}_3^-]$ , and  $[\text{HNO}_3]$  represent the molar concentrations of  $\text{NH}_3$ ,  $\text{NH}_4^+$ ,  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ , and  $\text{HNO}_3$ , respectively.

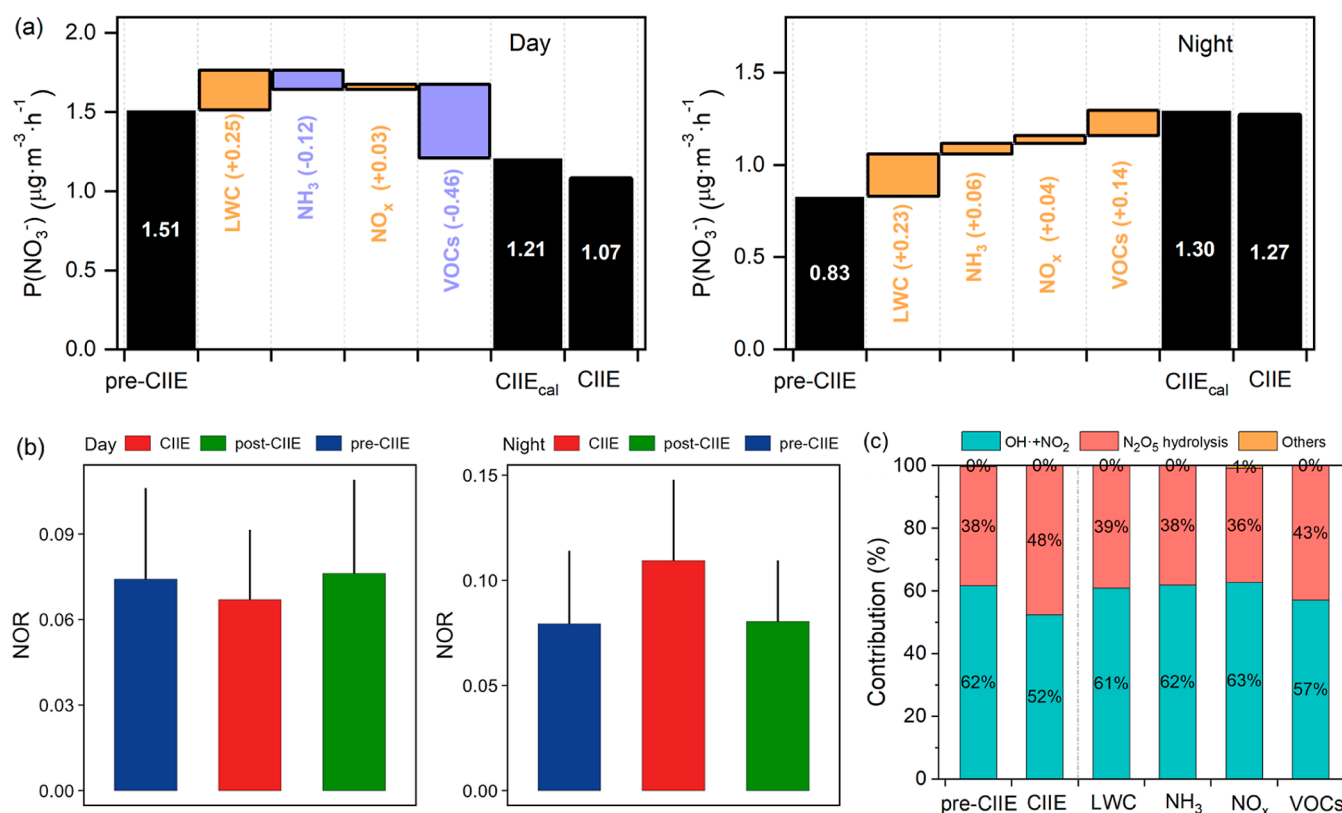
**Impact of Changes in Major Species or Parameters on  $\text{NO}_3^-$  Production.** A detailed multiphase chemical box model was employed to investigate the mechanism behind the diurnal response of  $\text{NO}_3^-$  (Figure 3). The rate of  $\text{NO}_3^-$  production was calculated by the model with constraints of the observation data. In the pre-CIIE, the average  $\text{NO}_3^-$  production rate was calculated as  $1.51 \pm 0.57 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$  in the daytime and  $0.83 \pm 0.58 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$  in the nighttime (Figure 3a). During the CIIE, this value is calculated as  $1.07 \pm 0.49 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$  in the daytime and  $1.27 \pm 0.84 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$  in the nighttime (Figure 3a). The model results also showed a decrease in daytime  $\text{NO}_3^-$  production and an increase in nocturnal  $\text{NO}_3^-$  production, which is consistent with the observation. We calculated the nitrogen oxidation ratio (NOR; eq 3), finding a decrease in daytime NOR values (−10%) and an increase in the nighttime (38%), compared to pre-CIIE (Figure 3b). This suggests that increased nocturnal  $\text{NO}_3^-$

production may be linked to an increasing oxidant level. Within the defined pathways (see Text S3 for the calculation of  $\text{NO}_3^-$  production), the rates of  $\text{NO}_3^-$  production from  $\bullet\text{OH} + \text{NO}_2$  decreased, whereas those from  $\text{N}_2\text{O}_5$  hydrolysis increased. Consequently, the contribution of  $\text{N}_2\text{O}_5$  hydrolysis to  $\text{NO}_3^-$  production increased from 38% during pre-CIIE to 48% during CIIE (Figure 3c).

$$\text{NOR} = \frac{[\text{NO}_3^-]}{[\text{NO}_3^-] + [\text{NO}_x]} \quad (3)$$

where NOR refers to the nitrogen oxidation ratio;  $[\text{NO}_3^-]$  and  $[\text{NO}_x]$  represent the molar concentrations of  $\text{NO}_3^-$  and  $\text{NO}_x$ , respectively.

We conducted a comprehensive analysis of the individual effects of decreased levels of  $\text{NO}_x$  and VOCs on the  $\text{NO}_3^-$  production. The pre-CIIE period was selected as a baseline, and sensitivity tests were conducted by adjusting the input of  $\text{NO}_x$  or VOCs based on measurements during CIIE. Figure 3a shows that a decrease in  $\text{NO}_x$  resulted in a slight increase in  $\text{NO}_3^-$  production both in the daytime (by 3%) and in the nighttime (by 4%). The reduction in  $\text{NO}_x$  affected  $\text{NO}_3^-$  production by influencing the levels of oxidants and precursors. Under high  $\text{NO}_x$  conditions (i.e., the case in Shanghai),



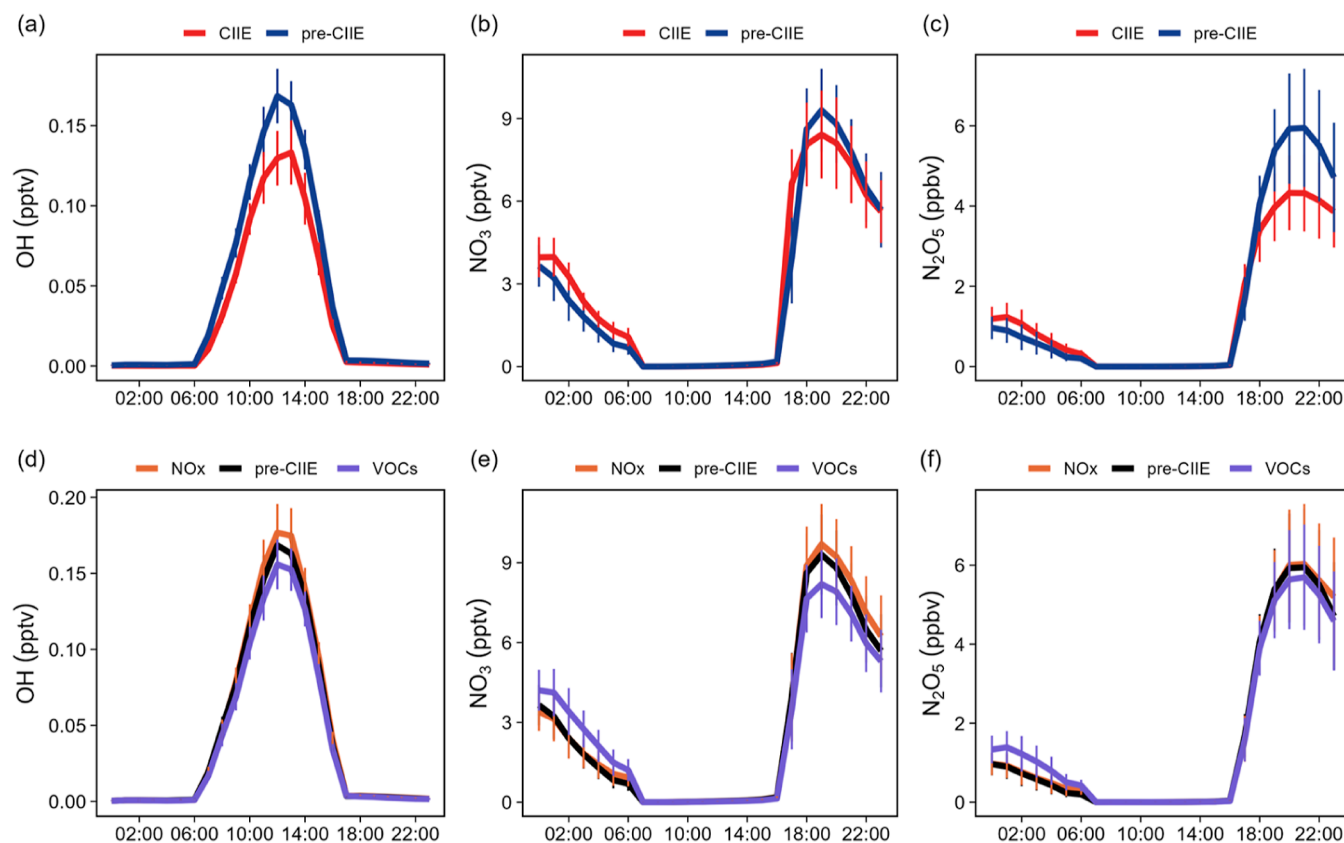
**Figure 3.** (a) Effect of changes in major species and parameters [e.g.,  $\text{NO}_x$ , VOCs,  $\text{NH}_3$ , and liquid water content (LWC)] on the  $\text{NO}_3^-$  production. The values for pre-CIIE and CIIE were derived from base simulations, and those for CIIE<sub>cal</sub> were calculated as the sum of the values for pre-CIIE and the total change caused by individual species or parameters. Bars in orange represent an increase, while in purple represent a decrease. (b) Comparison of NOR in urban Shanghai during pre-CIIE, CIIE, and post-CIIE. The error bars indicate half the standard deviation of the mean. (c) The model-calculated contributions of different pathways (including  $\bullet\text{OH} + \text{NO}_2$ ,  $\text{N}_2\text{O}_5$  hydrolysis, and other pathways) to in situ  $\text{NO}_3^-$  production under base scenarios during pre-CIIE and CIIE periods and under sensitivity tests with adjusted LWC,  $\text{NH}_3$ ,  $\text{NO}_x$ , and VOCs, respectively. Refer to Text S3 for the  $\text{NO}_3^-$  production pathways.

decreased  $\text{NO}_x$  reduced the precursor levels and increased  $\bullet\text{OH}$  concentrations in the daytime, and it lowered the precursor levels and increased  $\bullet\text{NO}_3$  concentrations at night (Figures 4 and S9). The opposing effects of  $\text{NO}_x$  reduction on oxidants and precursors could offset each other, resulting in a minor promotional effect on  $\text{N}_2\text{O}_5$  (refer to Figure 4f and the  $\bullet\text{NO}_3 + \text{NO}_2$  pathway in Figure S9A) and  $\text{NO}_3^-$  production. Intriguingly, the decrease in VOCs resulted in quite different impacts on daytime and nighttime  $\text{NO}_3^-$  production. Decreased VOCs significantly reduced daytime  $\text{NO}_3^-$  production (by  $-31\%$ ), but prompted nocturnal  $\text{NO}_3^-$  production (by  $17\%$ ). These findings indicate that decreased VOCs strongly influence the diurnal response of  $\text{NO}_3^-$ . To explore other factors contributing to the  $\text{NO}_3^-$  response, we conducted sensitivity simulations by adjusting the input of  $\text{NH}_3$  and LWC (Figure 3a). Changes in  $\text{NH}_3$  had a negligible effect on the  $\text{NO}_3^-$  production. Increased LWC largely enhanced  $\text{NO}_3^-$  production, both in the daytime and at night, by providing more medium for gas-particle conversion (e.g., enhancing  $\text{N}_2\text{O}_5$  hydrolysis), but was not associated with the diurnal  $\text{NO}_3^-$  response. Overall, the diurnal  $\text{NO}_3^-$  response primarily resulted from the double-edged role of VOCs in the  $\text{NO}_3^-$  formation.

Unlike  $\text{NO}_x$ , decreased VOCs affect  $\text{NO}_3^-$  production primarily by influencing the levels of oxidants. The double-edged role of VOCs in  $\text{NO}_3^-$  formation is attributed to their distinct roles in daytime and nighttime oxidants production. In

the daytime, decreased VOCs reduce the concentrations of  $\bullet\text{OH}$ , leading to a decrease in  $\text{NO}_3^-$  production; in comparison, at night, decreased VOCs increase the concentrations of  $\bullet\text{NO}_3$ , thereby promoting  $\text{NO}_3^-$  production (Figure 4). During CIIE, the larger decreases in VOCs at night ( $-4.4$  ppbv) outweighed the cumulative effect of decreases in VOCs in the daytime ( $-1.7$  ppbv), resulting in increased nocturnal  $\bullet\text{NO}_3$  concentrations (Figure 4). Note that decreased VOCs decreased the rates of both  $\bullet\text{NO}_3$  production and  $\bullet\text{NO}_3$  loss pathways (except for  $\bullet\text{NO}_3 + \text{NO}_2$ ), but the impact on  $\bullet\text{NO}_3$  loss was larger than that on  $\bullet\text{NO}_3$  production, leading to an increase in  $\bullet\text{NO}_3$  concentrations overall. This further enhanced  $\text{N}_2\text{O}_5$  production by accelerating the rates of  $\bullet\text{NO}_3 + \text{NO}_2$  (Figure S9B). Among the major VOC groups, alkenes exhibited the largest nighttime decreases (Table S1 and Figure S8) and had the largest promotional effect on nocturnal oxidants and  $\text{NO}_3^-$  production (Figure S10). Consistent with previous studies, we found an overall decreasing effect of reduced VOCs on  $\text{NO}_3^-$  production ( $-0.32 \mu\text{g}\cdot\text{m}^{-3}\cdot\text{h}^{-1}$ ).<sup>6,22,24</sup> We provide additional insights into the diurnal perspective of the impact of reduced VOCs on the  $\text{NO}_3^-$  production. The results highlight that a greater reduction in VOCs at night, compared to the daytime, could increase the  $\bullet\text{NO}_3$  level and exacerbate nocturnal  $\text{NO}_3^-$  pollution.

**Optimal Control Strategy for  $\text{NO}_3^-$  Pollution.** The observation and model results indicate that larger decreases in VOCs at night, compared to those in the daytime, resulted in



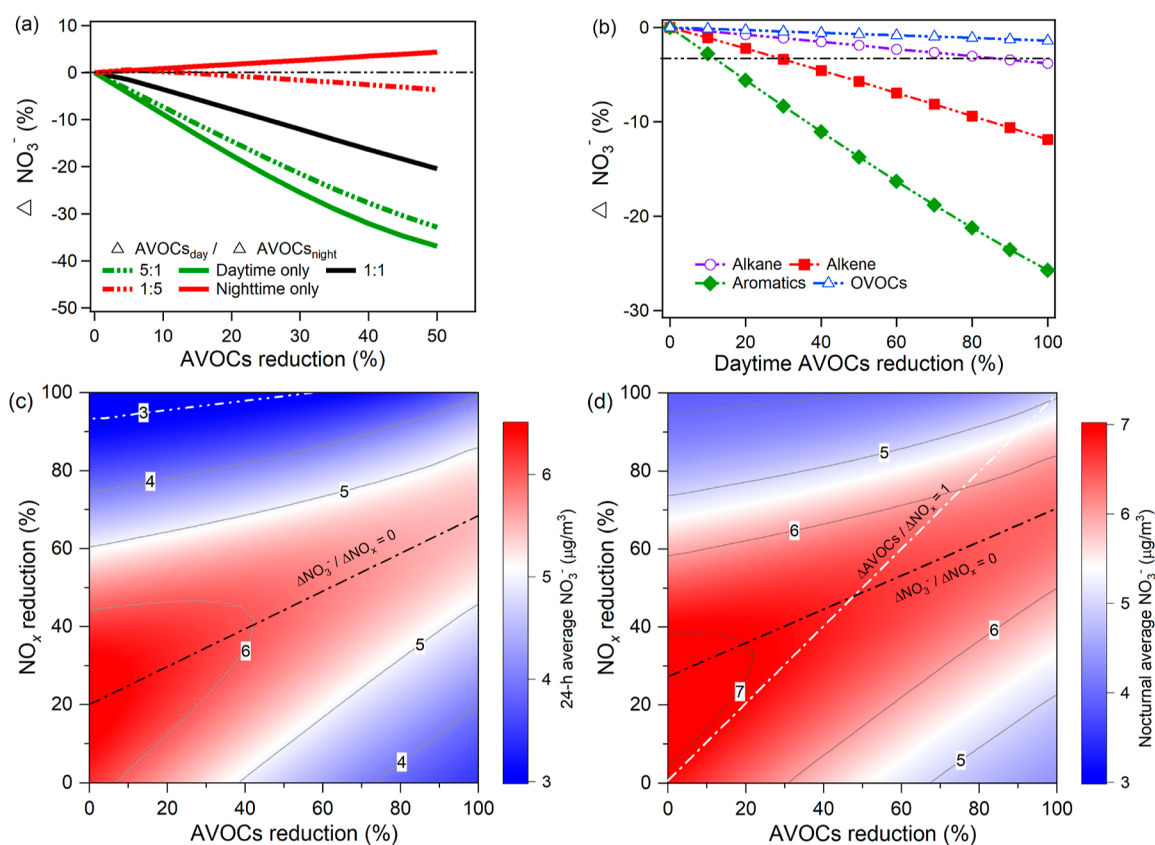
**Figure 4.** (a–c) Average diurnal variations in model-simulated  $\bullet\text{OH}$ ,  $\bullet\text{NO}_3$ , and  $\text{N}_2\text{O}_5$  concentrations during pre-CIIE and CIIE. (d–f) Comparison of  $\bullet\text{OH}$ ,  $\bullet\text{NO}_3$ , and  $\text{N}_2\text{O}_5$  concentrations between base scenarios and sensitivity tests. “pre-CIIE” refers to results obtained from base scenarios, while “ $\text{NO}_x$ ” and “VOCs” refer to results obtained from sensitivity tests targeted at  $\text{NO}_x$  and VOCs, respectively.

higher levels of oxidants and unexpectedly higher concentrations of  $\text{NO}_3^-$  in the nighttime. To aid in the development of effective control strategies, we conducted a series of emission-reduction runs to establish the nonlinear relationships between  $\text{NO}_3^-$ ,  $\text{NO}_x$ , and AVOCs. The emissions of biogenic VOCs (BVOCs) were kept fixed in the base runs and emission-reduction runs since they are beyond control efforts. The model-simulated average CO,  $\text{NO}_x$ , VOCs,  $\text{O}_3$ , and  $\text{NO}_3^-$  concentrations were 416 ppbv, 36.6 ppbv, 19.2 ppbv, 27 ppbv, and  $6.3 \mu\text{g}\cdot\text{m}^{-3}$ , respectively. These values were comparable to the observations in urban Shanghai (CO: 391 ppbv;  $\text{NO}_x$ : 26.8 ppbv; VOCs: 17.8 ppbv;  $\text{O}_3$ : 35.6 ppbv; and  $\text{NO}_3^-$ :  $6.4 \mu\text{g}\cdot\text{m}^{-3}$ ). The good agreement demonstrates the model’s capability to reproduce the observations, indicating an accurate representation of the emissions, chemistry, and physical processes.

We first examined the effect of reduction in AVOCs emissions on nocturnal  $\text{NO}_3^-$  concentrations, considering different ratios of AVOCs reduction between daytime ( $\Delta\text{AVOCs}_{\text{day}}$ ) and nighttime ( $\Delta\text{AVOCs}_{\text{night}}$ ). The model-simulated average AVOC concentrations were 14.5 ppbv in the daytime and 25.3 ppbv in the nighttime. As shown in Figure 5a, a reduction in AVOCs emissions could increase nocturnal  $\text{NO}_3^-$  concentrations, especially when AVOCs reduction occurs exclusively during the nighttime. The negative effect from VOC reductions on  $\text{NO}_3^-$  production disappeared when the promotional effect of nocturnal VOC reductions on  $\text{NO}_3^-$  production was negated by the cumulative effect of daytime VOC reductions, e.g., with an  $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}}$  ratio of 1:1. With similar reduction scales, daytime AVOCs

reduction consistently outperformed nighttime AVOCs reduction in reducing nocturnal  $\text{NO}_3^-$  concentrations. Therefore, enterprises with substantial VOC emissions should consider shifting their activities from daytime to nighttime, e.g., peak-shifts of oil uploading in the nighttime. Among the AVOC groups, aromatics had the greatest influence on reducing nocturnal  $\text{NO}_3^-$  production (Figure 5b). Nevertheless, it is challenging to reduce the 24 h average  $\text{NO}_3^-$  concentration to  $3.0 \mu\text{g}\cdot\text{m}^{-3}$  (assuming  $\text{NO}_3^-/\text{PM}_{2.5} = 0.20$  according to Table 1), which is the recommended guideline by the World Health Organization (WHO) for 24 h  $\text{PM}_{2.5}$  concentration of  $15 \mu\text{g}\cdot\text{m}^{-3}$ ,<sup>43</sup> solely through AVOCs reduction. Even with 100% AVOCs reduction in the daytime, the 24 h average  $\text{NO}_3^-$  concentration could only be reduced to  $3.5 \mu\text{g}\cdot\text{m}^{-3}$ , due to the influence of BVOCs and background oxidants.

In order to achieve the WHO guideline for  $\text{PM}_{2.5}$  concentration, a drastic cut in  $\text{NO}_x$  emissions is required, considering the limited potential of AVOCs reduction. The control measures aim to eliminate the NO titration effect and to shift the  $\text{NO}_3^-$  formation regime from being VOC-limited to mixed-limited, and eventually to  $\text{NO}_x$ -limited conditions (refer to Figure 5). To reach the mixed-limited regime, the  $\text{NO}_x$  emission in urban Shanghai would have to be reduced by 28–70% from the levels observed during the pre-CIIE period (see the black dashed line in Figure 5), and 93%  $\text{NO}_x$  reductions would be needed to meet the WHO guideline (see the white dashed line in Figure 5c). Achieving such significant reductions in  $\text{NO}_x$  emissions necessitates transformative changes in the current energy and transportation



**Figure 5.** (a) The model-simulated change in nocturnal average  $\text{NO}_3^-$  concentrations as a function of reduction in AVOCs emission, with  $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}}$  being 5:1, 1:1, and 1:5, respectively, or AVOCs reduction only occurring in the daytime or nighttime.  $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}}$  represents the ratio of AVOCs reduction in the daytime and nighttime. (b) The model-simulated change in nocturnal average  $\text{NO}_3^-$  concentration as a function of reduction in alkanes, alkenes, aromatics, and OVOCs emissions in the daytime. (c) Contour plots of the simulated 24 h average  $\text{NO}_3^-$  concentration as a function of reduction in  $\text{NO}_x$  and AVOCs emission ( $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}} = 1$ ;  $\Delta\text{NO}_{x\text{day}}/\Delta\text{NO}_{x\text{night}} = 1$ ). The black dashed line represents the boundary between the VOC-limited and mixed-limited ( $\Delta\text{NO}_3^-/\Delta\text{NO}_x = 0$ )  $\text{NO}_3^-$  formation regime. (d) Contour plots of the simulated nocturnal average  $\text{NO}_3^-$  concentration as a function of reduction in  $\text{NO}_x$  and AVOCs emission ( $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}} = 1$ ;  $\Delta\text{NO}_{x\text{day}}/\Delta\text{NO}_{x\text{night}} = 1$ ). The black dashed line represents the boundary between the VOC-limited and mixed-limited ( $\Delta\text{NO}_3^-/\Delta\text{NO}_x = 0$ )  $\text{NO}_3^-$  formation regime. The white dashed line represents the fitting line for a base case with an  $\Delta\text{AVOCs}/\Delta\text{NO}_x$  reduction ratio = 1.0 [=percentage change in emission ( $\text{mol}/\text{cm}^3$ )/percentage change in concentration ( $\text{mol}/\text{cm}^3$ )], which exerts nearly zero effect on nocturnal  $\text{NO}_3^-$  production.

sectors such as the widespread adoption of renewable energy and green transportation.

In the short term, for urban Shanghai, a combined strategy targeting AVOCs and  $\text{NO}_x$  control can be implemented to reduce nocturnal  $\text{NO}_3^-$  concentrations and simultaneously shift the  $\text{NO}_3^-$  formation regime. This strategy should prioritize a reduction ratio of  $\Delta\text{AVOCs}/\Delta\text{NO}_x$  greater than 1.0 (see the white dashed line in Figure 5d), when  $\Delta\text{AVOCs}_{\text{day}}/\Delta\text{AVOCs}_{\text{night}} = 1:1$  and  $\Delta\text{NO}_{x\text{day}}/\Delta\text{NO}_{x\text{night}} = 1:1$ .

The above results are derived from the detailed measurements at one urban site in Shanghai. Similar studies in other areas would be needed in order to reveal the mechanisms by which  $\text{NO}_x$  and VOC reductions affect  $\text{NO}_3^-$  formation under different atmospheric conditions. Nonetheless, the comprehensive measurements in urban Shanghai and the model analysis provide valuable insights into the control of  $\text{NO}_3^-$  pollution in regions with meteorology and emission characteristics like Shanghai.

**Atmospheric Implications.** The experience of Shanghai during the CIIE provides an insightful real-world scenario for examining the response of  $\text{NO}_3^-$  pollution to the joint control of  $\text{NO}_x$  and VOCs. By combining measurements and detailed

multiphase chemical modeling, we delineate the complex role of VOCs in oxidants and  $\text{NO}_3^-$  formation. In its 14th Five-Year Plan for 2021–2025, China has marked VOCs management as a major target, along with reducing  $\text{NO}_x$  emissions. It is crucial to consider the double-edged role of VOCs in the formation of secondary aerosols and oxidants when formulating corresponding policies, particularly in light of the increasing nighttime oxidation capacity and  $\text{NO}_3^-$  formation in China.<sup>44</sup> For cities like Shanghai, where oxidants and  $\text{NO}_3^-$  formation are under  $\text{NO}_x$ -saturated conditions, controlling AVOCs can effectively reduce oxidant and  $\text{NO}_3^-$  levels in the short term, provided that greater AVOCs reduction takes place in the daytime compared to the nighttime. This necessitates the transfer of enterprises with high VOCs emissions from daytime to nighttime, e.g., peak-shift of oil uploading in the nighttime. Our findings also indicate a limited potential for AVOCs reduction to decrease  $\text{NO}_3^-$  concentrations due to the influence of BVOCs and background oxidants. Thus, in the long run, a drastic cut in  $\text{NO}_x$  emissions is imperative, requiring transformative shifts in current energy and transportation sectors, such as rapid and large-scale adoption of renewable energy and environmentally friendly transportation.



## ■ ASSOCIATED CONTENT

### SI Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.3c04629>.

Description of observational site and measurement techniques; parametrization of physical processes within the RACM-CAPRAM model; calculation of  $\text{NO}_3^-$  production and destruction rate within the RACM-CAPRAM model; calculation of emission rate for major pollutants; comparison of VOC concentrations between pre-CIIE and CIIE; description of the location of the Yangtze River Delta, Shanghai city and the sampling site; time series of major species and meteorological parameters during the whole observational period; median diurnal profile of aerosol  $\text{H}^+$  concentrations and LWC; comparison between observed and ISO-ROPIA II-simulated  $\text{NH}_3$  concentrations; comparison of wind rose plots and 48 h backward trajectories during pre-CIIE, CIIE, and post-CIIE; comparison of LWC under base scenarios and sensitivity tests; distribution of the gas ratio; results of average diurnal variations in alkanes, alkenes, and aromatics;  $\bullet\text{NO}_3$  budget under base scenarios and sensitivity tests; average diurnal variations in model-simulated  $\bullet\text{OH}$  and  $\bullet\text{NO}_3$  concentrations under base scenarios and sensitivity tests (PDF)

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### Notes

The authors declare no competing financial interest.

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